

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:) Date: March 12, 2004
William G. Hart Jr., et al.) Attorney Docket No.: E-885-C1
Serial No.: Not Yet Assigned) Customer No.: 00919
Filed: Concurrently Herewith) Group Art Unit: Not Yet Assigned

For: APPARATUS FOR PREPARATION OF MAILPIECES AND METHOD FOR
DOWNSTREAM CONTROL OF SUCH APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☒ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☐ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**** Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

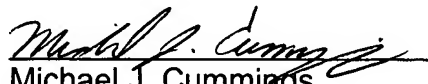
A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the non U.S. items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,


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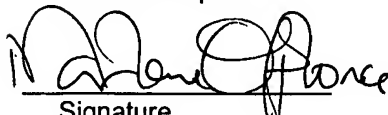
Plus attachments: Form PTO-1449 (Modified)
One copy of each of the Non U.S. references

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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On March 12, 2004
Date of Deposit


Signature

Marlene Olphonc
Name.

March 12, 2004
Date

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket Number E-885-C1	Serial No. Not Yet Assigned
	Applicant William G. Hart Jr., et al.	
	Filing Date Concurrently Herewith	Group Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,484,100	7/67	Sather, et al	270		
	AB	4,194,685	3/80	Hill, et al.	235		
	AC	4,381,447	4/83	Horvath, et al.	250		
	AD	4,429,217	1/84	Hill, et al.	235		
	AE	4,734,865	3/98	Scullion, et, al.	364		
	AF	4,790,119	12/88	McDaniels	53		
	AG	4,797,830	1/89	Baggarly, et al	705		
	AH	4,800,505	1/24/89	Axelrod, et al.	364		
	AI	4,987,547	1/91	Rabindran, et al.	700		
	AJ	5,177,687	1/93	Baggarly, et al.	364		
	AK	5,214,642	5/93	Kunimoto, et al.	370		
	AL	5,220,770	6/93	Szewczyk, et al.	53		
	AM	5,317,654	5/94	Perry, et al.	382		
	AN	5,467,434	11/95	Hower, Jr., et al.	358		
	AO	5,493,106	2/96	Hunter	235		
	AP	5,538,232	7/96	Long	270		
	AQ	6,119,051	9/02	Anderson, et al.	700		
	AR	6,131,053	10/02	Nyffenegger, et al.	270		
	AS	5,618,037	4/97	Chang, et al.	271		
	AT	5,659,481	8/97	Qutub, et al.	700		
	AU	5,710,874	1/98	Bergen	358		
	AV	5,730,299	3/98	Helsley	209		
	AW	5,768,132	6/98	Cordery, et al.	705		
	AX	5,798,930	8/98	Van Oosten	700		
	AY	5,816,715	10/98	Harman, et al.	400		
	AZ	5,818,724	10/98	Brewster, Jr, et al.	700		
	BA	5,826,869	10/98	Nyffenegger, et al.	270		
	BB	5,873,073	2/99	Bresnan, et al.	705		
	BC	6,119,051	9/02	Anderson, et al	700		
	BD	6,131,053	10/02	Nyffenegger, et al.	700		
	BE	6,173,274	1/01	Ryan	705		
	BF	6,370,521	4/02	Pigos, et al.	707		

FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	AL	WO96/3182	10/96	WIPO	G06F	5/06	Yes
	AM	19811131A1	3/98	German	B07C	5/00	No
	AN						
	AO						
	AP						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.